

Broker Summary by Report Date

EQUITY

Commission Values Only - Composite

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STATE STREET

Funds Included in Composite

NM1D	NMERB - BROOKFIELD REIT
NM1X	NMERB - S & P 500 INDEX
NM2D	NMERB - HARVEST MLP
NM2E	NMERB - S&P 400 MID-CAP INDEX

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Broker Name Fund	Broker Number	Shares/Par	Base Principal	Base Commission	Base Comm Cost/Share	% of Principal
APEX CLEARING CORPORATION NM2D	00158	2,253.000	42,777.76	11.29	0.00501	0.02639
APEX CLEARING CORPORATION Total		2,253.000	42,777.76	11.29	0.00501	0.02639
B.RILEY & CO., LLC NM2D	65544	366,335.000	4,975,676.01	10,990.05	0.03000	0.22088
B.RILEY & CO., LLC Total		366,335.000	4,975,676.01	10,990.05	0.03000	0.22088
BARCLAYS CAPITAL LE NM1D	61610	342,272.000	7,345,390.19	13,690.88	0.04000	0.18639
BARCLAYS CAPITAL LE Total		342,272.000	7,345,390.19	13,690.88	0.04000	0.18639
BMO CAPITAL MARKETS NM1D	00045	38,600.000	1,005,634.22	1,158.00	0.03000	0.11515
BMO CAPITAL MARKETS Total		38,600.000	1,005,634.22	1,158.00	0.03000	0.11515
BOFA SECURITIES, INC. NM1D	00161	496,513.000	22,460,500.94	19,701.94	0.03968	0.08772
BOFA SECURITIES, INC. Total		496,513.000	22,460,500.94	19,701.94	0.03968	0.08772
BTIG, LLC NM1D	58851	185,686.000	10,256,030.78	5,570.58	0.03000	0.05432
BTIG, LLC Total		185,686.000	10,256,030.78	5,570.58	0.03000	0.05432
CAPITAL INSTITUTIONAL SVCS INC EQUITIES NM1X	59055	1,017,591.000	125,538,026.20	20,351.82	0.02000	0.01621
NM2E		106,001.000	7,386,139.02	2,120.02	0.02000	0.02870
CAPITAL INSTITUTIONAL SVCS INC EQUITIES Total		1,123,592.000	132,924,165.22	22,471.84	0.02000	0.01691
CITIGROUP GLOBAL MARKETS INC NM1D	00505	105,683.000	7,960,312.12	3,170.49	0.03000	0.03983
CITIGROUP GLOBAL MARKETS INC Total		105,683.000	7,960,312.12	3,170.49	0.03000	0.03983
COWEN AND COMPANY, LLC NM2D	77318	74,365.000	2,070,209.74	387.13	0.00521	0.01870
COWEN AND COMPANY, LLC Total		74,365.000	2,070,209.74	387.13	0.00521	0.01870
CREDIT SUISSE SECURITIES (USA) LLC	00355					

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NM1D		296,786.000	7,301,893.32	8,903.58	0.03000	0.12194
CREDIT SUISSE SECURITIES (USA) LLC Total		296,786.000	7,301,893.32	8,903.58	0.03000	0.12194
ELECTRONIC BROKERAFF SYSTEMS LLC NM2D	66837	20,950.000	631,565.84	104.78	0.00500	0.01659
ELECTRONIC BROKERAFF SYSTEMS LLC Total		20,950.000	631,565.84	104.78	0.00500	0.01659
INSTINET NM1D	06826	252,847.000	14,812,647.84	4,537.07	0.01794	0.03063
INSTINET Total		252,847.000	14,812,647.84	4,537.07	0.01794	0.03063
ITG INC NM1D	69224	28,324.000	2,282,895.70	566.48	0.02000	0.02481
ITG INC Total		28,324.000	2,282,895.70	566.48	0.02000	0.02481
J.P. MORGAN SECURITIES LLC NM1D	57079	294,895.000	11,659,752.52	8,846.85	0.03000	0.07588
J.P. MORGAN SECURITIES LLC Total		294,895.000	11,659,752.52	8,846.85	0.03000	0.07588
JEFFERIES LLC NM2D	00019	72,336.000	1,334,710.73	361.86	0.00500	0.02711
JEFFERIES LLC Total		72,336.000	1,334,710.73	361.86	0.00500	0.02711
JONESTRADING INSTITUTIONAL SERVICES LLC NM1D	77583	18,412.000	795,808.61	552.36	0.03000	0.06941
JONESTRADING INSTITUTIONAL SERVICES LLC Total		18,412.000	795,808.61	552.36	0.03000	0.06941
KEYBANC CAPITAL MARKETS INC NM1D	67526	23,681.000	1,497,112.82	710.43	0.03000	0.04745
KEYBANC CAPITAL MARKETS INC Total		23,681.000	1,497,112.82	710.43	0.03000	0.04745
LIQUIDNET INC NM1D	69400	44,820.000	2,216,815.36	896.40	0.02000	0.04044
LIQUIDNET INC Total		44,820.000	2,216,815.36	896.40	0.02000	0.04044
LUMINEX TRADING AND ANALYTICS LLC NM1D	69237	80,825.000	3,289,588.48	1,616.50	0.02000	0.04914
LUMINEX TRADING AND ANALYTICS LLC Total		80,825.000	3,289,588.48	1,616.50	0.02000	0.04914

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MKM PARTNERS LLC NM1D	62526	241,297.000	7,385,542.73	5,137.94	0.02129	0.06957
MKM PARTNERS LLC Total		241,297.000	7,385,542.73	5,137.94	0.02129	0.06957
MORGAN STANLEY CO INCORPORATED NM1D	00050	501,193.000	14,318,707.91	15,035.79	0.03000	0.10501
NM2D		467.000	3,614.56	14.01	0.03000	0.38760
MORGAN STANLEY CO INCORPORATED Total		501,660.000	14,322,322.47	15,049.80	0.03000	0.10508
PIPER JAFFRAY & CO. NM1D	69444	247,097.000	8,438,479.07	2,470.97	0.01000	0.02928
NM2D		13,954.000	362,739.18	69.84	0.00501	0.01925
PIPER JAFFRAY & CO. Total		261,051.000	8,801,218.25	2,540.81	0.00973	0.02887
RAYMOND JAMES AND ASSOCIATES INC NM1D	00725	571,430.000	32,629,876.25	22,857.20	0.04000	0.07005
NM2D		3,867.000	86,148.73	19.35	0.00500	0.02246
NM2E		97,271.000	4,640,214.72	972.71	0.01000	0.02096
RAYMOND JAMES AND ASSOCIATES INC Total		672,568.000	37,356,239.70	23,849.26	0.03546	0.06384
RBC CAPITAL MARKETS, LLC NM1D	00235	61,907.000	1,854,764.18	1,810.09	0.02924	0.09759
NM2D		211,517.000	5,977,607.56	1,057.92	0.00500	0.01770
RBC CAPITAL MARKETS, LLC Total		273,424.000	7,832,371.74	2,868.01	0.01049	0.03662
SANFORD C BERNSTEIN CO LLC NM2D	00013	28,143.000	866,764.54	140.76	0.00500	0.01624
SANFORD C BERNSTEIN CO LLC Total		28,143.000	866,764.54	140.76	0.00500	0.01624
WELLS FARGO SECURITIES, LLC NM2D	46171	57,394.000	1,328,350.88	287.21	0.00500	0.02162
NM2E		108,000.000	6,583,708.11	1,080.00	0.01000	0.01640
WELLS FARGO SECURITIES, LLC Total		165,394.000	7,912,058.99	1,367.21	0.00827	0.01728
COMPOSITE Total		6,012,712.000	319,340,006.62	155,202.30	0.02581	0.04860

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NM2A	NMERB - CORE FIXED INCOME
NM2B	PRUDENTIAL EMD-BLEND

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Broker Name	Fund	Broker Number	Shares/Par	Base Principal	Base Commission	Base Comm Cost/Share	% of Principal
BANCO SANTANDER CENTRAL HISPANO		51881					
	NM2B		2,824,300.000	133,800.69	0.00	0.00000	0.00000
BANCO SANTANDER CENTRAL HISPANO Total			2,824,300.000	133,800.69	0.00	0.00000	0.00000
BANK OF AMERICA INTL NY UNITED STATES		65954					
	NM2B		22,400,000.000	5,322,199.60	0.00	0.00000	0.00000
BANK OF AMERICA INTL NY UNITED STATES Total			22,400,000.000	5,322,199.60	0.00	0.00000	0.00000
BARCLAYS BANK PLC		63070					
	NM2B		11,426,000.000	100,458.68	0.00	0.00000	0.00000
BARCLAYS BANK PLC Total			11,426,000.000	100,458.68	0.00	0.00000	0.00000
BARCLAYS BANK PLC LONDON BRANCH		07254					
	NM2B		6,000.000	5,430.00	0.00	0.00000	0.00000
BARCLAYS BANK PLC LONDON BRANCH Total			6,000.000	5,430.00	0.00	0.00000	0.00000
BARCLAYS CAPITAL		A0707					
	NM2B		3,566,244.000	359,531.04	0.00	0.00000	0.00000
BARCLAYS CAPITAL Total			3,566,244.000	359,531.04	0.00	0.00000	0.00000
BNP PARIBAS SA		A0281					
	NM2B		9,578,000.000	710,724.43	0.00	0.00000	0.00000
BNP PARIBAS SA Total			9,578,000.000	710,724.43	0.00	0.00000	0.00000
BNP PARIBAS SECURITIES BOND		58119					
	NM2A		6,000,000.000	5,956,406.25	0.00	0.00000	0.00000
BNP PARIBAS SECURITIES BOND Total			6,000,000.000	5,956,406.25	0.00	0.00000	0.00000
BNYMELLON/RE HSBC BANK PLC		02830					
	NM2B		250,000.000	246,530.00	0.00	0.00000	0.00000
BNYMELLON/RE HSBC BANK PLC Total			250,000.000	246,530.00	0.00	0.00000	0.00000

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Broker Name	Fund	Broker Number	Shares/Par	Base Principal	Base Commission	Base Comm Cost/Share	% of Principal
BOFA SECURITIES, INC. / FIXED INCOME		00773					
	NM2A		12,000,000.000	11,704,550.00	0.00	0.00000	0.00000
BOFA SECURITIES, INC. / FIXED INCOME Total			12,000,000.000	11,704,550.00	0.00	0.00000	0.00000
CALLED BONDS		99129					
	NM2A		3,519,621.960	3,544,159.45	0.00	0.00000	0.00000
	NM2B		200,000.000	210,092.88	0.00	0.00000	0.00000
CALLED BONDS Total			3,719,621.960	3,754,252.33	0.00	0.00000	0.00000
CANTOR FITZGERALD + CO		07311					
	NM2A		36,973,824.310	37,516,056.23	0.00	0.00000	0.00000
CANTOR FITZGERALD + CO Total			36,973,824.310	37,516,056.23	0.00	0.00000	0.00000
CASH COLLATERAL		C8546					
	NM2B		8,830,000.000	8,830,000.00	0.00	0.00000	0.00000
CASH COLLATERAL Total			8,830,000.000	8,830,000.00	0.00	0.00000	0.00000
CITIBANK N.A.		92449					
	NM2B		35,200,000.000	8,378,724.29	0.00	0.00000	0.00000
CITIBANK N.A. Total			35,200,000.000	8,378,724.29	0.00	0.00000	0.00000
CITIGROUP GLOBAL MARKETS CME		C9691					
	NM2B		199,773,102,605.480	248,743,367.57	0.00	0.00000	0.00000
CITIGROUP GLOBAL MARKETS CME Total			199,773,102,605.480	248,743,367.57	0.00	0.00000	0.00000
CITIGROUP GLOBAL MARKETS INC SALOMON BRO		00274					
	NM2B		1,174,245.000	1,179,128.54	288.29	0.00025	0.02445
CITIGROUP GLOBAL MARKETS INC SALOMON BRO Total			1,174,245.000	1,179,128.54	288.29	0.00025	0.02445
CITIGROUP GLOBAL MARKETS INC.		51032					
	NM2B		300,000.000	314,023.72	0.00	0.00000	0.00000

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CITIGROUP GLOBAL MARKETS INC. Total			300,000.000	314,023.72	0.00	0.00000	0.00000
CITIGROUP GLOBAL MARKETS LCH		D2179					
	NM2B		37,181,912,000.000	120,328,410.13	0.00	0.00000	0.00000
CITIGROUP GLOBAL MARKETS LCH Total			37,181,912,000.000	120,328,410.13	0.00	0.00000	0.00000
CITIGROUP GLOBAL MARKETS LIMITED		90786					
	NM2B		21,629,000.000	1,213,597.55	0.00	0.00000	0.00000
CITIGROUP GLOBAL MARKETS LIMITED Total			21,629,000.000	1,213,597.55	0.00	0.00000	0.00000
CREDIT SUISSE INTERNATIONAL		A2828					
	NM2B		22,700,000.000	283,964.83	0.00	0.00000	0.00000
CREDIT SUISSE INTERNATIONAL Total			22,700,000.000	283,964.83	0.00	0.00000	0.00000
CREDIT SUISSE SECURITIES (USA) LLC		00355					
	NM2A		7,000,000.000	7,552,900.00	0.00	0.00000	0.00000
CREDIT SUISSE SECURITIES (USA) LLC Total			7,000,000.000	7,552,900.00	0.00	0.00000	0.00000
DAIWA CAPITAL MARKETS AMERICA INC.		00647					
	NM2A		2,000,000.000	2,000,000.00	0.00	0.00000	0.00000
DAIWA CAPITAL MARKETS AMERICA INC. Total			2,000,000.000	2,000,000.00	0.00	0.00000	0.00000
DEUTSCHE BANK AG		A0583					
	NM2B		200,000.000	44,026.65	0.00	0.00000	0.00000
DEUTSCHE BANK AG Total			200,000.000	44,026.65	0.00	0.00000	0.00000
FIRST TENNESSEE BANK BOND DIVISION		09512					
	NM2A		19,864,607.910	19,991,578.86	0.00	0.00000	0.00000
FIRST TENNESSEE BANK BOND DIVISION Total			19,864,607.910	19,991,578.86	0.00	0.00000	0.00000
FIRST TENNESSEE SECURITIES CORP		57966					
	NM2A		-55,471.610	-56,234.34	0.00	-0.00000	-0.00000

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FIRST TENNESSEE SECURITIES CORP Total			-55,471.610	-56,234.34	0.00	-0.00000	-0.00000
FRACTIONAL SHARES		97515					
	NM2A		0.010	0.00	0.00	0.00000	0.00000
FRACTIONAL SHARES Total			0.010	0.00	0.00	0.00000	0.00000
GOLDMAN SACHS + CO LLC		00005					
	NM2B		50,533,000.000	10,157,510.86	0.00	0.00000	0.00000
GOLDMAN SACHS + CO LLC Total			50,533,000.000	10,157,510.86	0.00	0.00000	0.00000
GOLDMAN SACHS INTERNATIONAL		00501					
	NM2B		377,388,000.000	2,304,069.17	0.00	0.00000	0.00000
GOLDMAN SACHS INTERNATIONAL Total			377,388,000.000	2,304,069.17	0.00	0.00000	0.00000
HONG KONG AND SHANGHAI BANKING CORP		A2311					
	NM2B		4,700,000.000	761,333.25	0.00	0.00000	0.00000
HONG KONG AND SHANGHAI BANKING CORP Total			4,700,000.000	761,333.25	0.00	0.00000	0.00000
HSBC BANK PLC		E4927					
	NM2B		18,253,195.000	1,017,957.61	0.00	0.00000	0.00000
HSBC BANK PLC Total			18,253,195.000	1,017,957.61	0.00	0.00000	0.00000
HSBC SECURITIES (USA) INC.		C4227					
	NM2B		100,000.000	112,954.83	0.00	0.00000	0.00000
HSBC SECURITIES (USA) INC. Total			100,000.000	112,954.83	0.00	0.00000	0.00000
HSBC SECURITIES (USA) INC.(FIXED INCOME)		00486					
	NM2B		45,000.000	40,068.75	0.00	0.00000	0.00000
HSBC SECURITIES (USA) INC.(FIXED INCOME) Total			45,000.000	40,068.75	0.00	0.00000	0.00000
J.P. MORGAN SECURITIES LLC		80218					
	NM2A		8,000,000.000	7,876,484.38	0.00	0.00000	0.00000

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	NM2B		400,000.000	400,000.00	0.00	0.00000	0.00000
J.P. MORGAN SECURITIES LLC Total			8,400,000.000	8,276,484.38	0.00	0.00000	0.00000
JANE STREET EXECUTION SERVICES, LLC		70534					
	NM2A		475,000.000	496,850.00	0.00	0.00000	0.00000
JANE STREET EXECUTION SERVICES, LLC Total			475,000.000	496,850.00	0.00	0.00000	0.00000
JEFFERIES LLC		00019					
	NM2B		320,000.000	316,525.00	0.00	0.00000	0.00000
JEFFERIES LLC Total			320,000.000	316,525.00	0.00	0.00000	0.00000
JPMORGAN CHASE BANK		A8850					
	NM2B		2,494,000.000	29,685.44	0.00	0.00000	0.00000
JPMORGAN CHASE BANK Total			2,494,000.000	29,685.44	0.00	0.00000	0.00000
JPMORGAN CHASE BANK, N.A.		A5983					
	NM2B		42,577,000.000	3,232,760.80	0.00	0.00000	0.00000
JPMORGAN CHASE BANK, N.A. Total			42,577,000.000	3,232,760.80	0.00	0.00000	0.00000
KEYBANC CAPITAL MARKETS INC		67526					
	NM2A		28,376,293.620	28,542,642.20	0.00	0.00000	0.00000
KEYBANC CAPITAL MARKETS INC Total			28,376,293.620	28,542,642.20	0.00	0.00000	0.00000
MARKETAXESS CORPORATION		78582					
	NM2A		12,799,000.000	13,453,448.68	0.00	0.00000	0.00000
MARKETAXESS CORPORATION Total			12,799,000.000	13,453,448.68	0.00	0.00000	0.00000
MERRILL LYNCH INTERNATIONAL		A1891					
	NM2B		1,018,640,000.000	431,880.06	0.00	0.00000	0.00000
MERRILL LYNCH INTERNATIONAL Total			1,018,640,000.000	431,880.06	0.00	0.00000	0.00000
MITSUBISHI UFJ SECURITIES		62097					

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	NM2A		1,000,000.000	1,010,000.00	0.00	0.00000	0.00000
mitsubishi ufj securities Total			1,000,000.000	1,010,000.00	0.00	0.00000	0.00000
MIZUHO SECURITIES USA INC.		83536					
	NM2A		5,000,000.000	4,907,967.50	0.00	0.00000	0.00000
MIZUHO SECURITIES USA INC. Total			5,000,000.000	4,907,967.50	0.00	0.00000	0.00000
MORGAN STANLEY BANK AG		93449					
	NM2B		2,494,000.000	23,666.89	0.00	0.00000	0.00000
MORGAN STANLEY BANK AG Total			2,494,000.000	23,666.89	0.00	0.00000	0.00000
MORGAN STANLEY CO INCORPORATED		00050					
	NM2A		372,000.000	408,080.28	0.00	0.00000	0.00000
	NM2B		17,197,000.000	212,811.32	0.00	0.00000	0.00000
MORGAN STANLEY CO INCORPORATED Total			17,569,000.000	620,891.60	0.00	0.00000	0.00000
NEDBANK LTD		C1596					
	NM2B		18,398,176.000	1,038,940.90	0.00	0.00000	0.00000
NEDBANK LTD Total			18,398,176.000	1,038,940.90	0.00	0.00000	0.00000
PAYDOWN		95953					
	NM2A		125,664.240	120,487.40	0.00	0.00000	0.00000
PAYDOWN Total			125,664.240	120,487.40	0.00	0.00000	0.00000
PIERPONT SECURITIES LLC		66767					
	NM2A		80,262,041.870	79,154,696.08	0.00	0.00000	0.00000
PIERPONT SECURITIES LLC Total			80,262,041.870	79,154,696.08	0.00	0.00000	0.00000
PRINCIPAL PAYMENTS CORP BDS		99410					
	NM2A		434,200.310	434,200.31	0.00	0.00000	0.00000
PRINCIPAL PAYMENTS CORP BDS Total			434,200.310	434,200.31	0.00	0.00000	0.00000

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Broker Name	Fund	Broker Number	Shares/Par	Base Principal	Base Commission	Base Comm Cost/Share	% of Principal
RBC CAPITAL MARKETS, LLC		00235					
	NM2A		3,000,000.000	2,715,000.00	0.00	0.00000	0.00000
RBC CAPITAL MARKETS, LLC Total			3,000,000.000	2,715,000.00	0.00	0.00000	0.00000
ROBERT W. BAIRD CO. INCORPORATED		00547					
	NM2A		820,928.030	825,032.67	0.00	0.00000	0.00000
ROBERT W. BAIRD CO. INCORPORATED Total			820,928.030	825,032.67	0.00	0.00000	0.00000
SHARE ADJUSTMENT		92565					
	NM2A		133,081.070	0.00	0.00	0.00000	0.00000
SHARE ADJUSTMENT Total			133,081.070	0.00	0.00	0.00000	0.00000
STANDARD CHARTERED BANK		92078					
	NM2B		4,394,200,000.000	511,757.08	0.00	0.00000	0.00000
STANDARD CHARTERED BANK Total			4,394,200,000.000	511,757.08	0.00	0.00000	0.00000
STIFEL NICOLAUS + CO INC		00793					
	NM2A		2,285,965.650	2,557,378.35	0.00	0.00000	0.00000
STIFEL NICOLAUS + CO INC Total			2,285,965.650	2,557,378.35	0.00	0.00000	0.00000
TENDER OFFER		99007					
	NM2A		1,190,000.000	1,192,975.00	0.00	0.00000	0.00000
	NM2B		120,000.000	131,190.00	0.00	0.00000	0.00000
TENDER OFFER Total			1,310,000.000	1,324,165.00	0.00	0.00000	0.00000
TORONTO DOMINION SECURTIES (USA) INC		80703					
	NM2A		5,000,000.000	4,941,350.00	0.00	0.00000	0.00000
TORONTO DOMINION SECURTIES (USA) INC Total			5,000,000.000	4,941,350.00	0.00	0.00000	0.00000
TROIKA DIALOG INVESTMENT COMPANY		C4859					
	NM2B		114,665,000.000	1,424,857.28	0.00	0.00000	0.00000

Broker Summary / All Activity by Report Date

FIXED

Composite

January 1, 2022 to March 31, 2022

View Date:

April 5, 2022



STATE STREET

Base Currency: USD - US DOLLAR

Broker Name	Fund	Broker Number	Shares/Par	Base Principal	Base Commission	Base Comm Cost/Share	% of Principal
TROIKA DIALOG INVESTMENT COMPANY Total			114,665,000.000	1,424,857.28	0.00	0.00000	0.00000
UBS AG		A0592					
	NM2B		135,000.000	152,140.49	0.00	0.00000	0.00000
UBS AG Total			135,000.000	152,140.49	0.00	0.00000	0.00000
UBS SECURITIES LLC		00642					
	NM2B		200,000.000	199,122.00	0.00	0.00000	0.00000
UBS SECURITIES LLC Total			200,000.000	199,122.00	0.00	0.00000	0.00000
US BANCORP INVESTMENTS INC		93812					
	NM2A		1,000,000.000	868,670.00	0.00	0.00000	0.00000
US BANCORP INVESTMENTS INC Total			1,000,000.000	868,670.00	0.00	0.00000	0.00000
W H EDELMAN AND CO INC		03272					
	NM2A		16,000,000.000	15,622,304.69	0.00	0.00000	0.00000
W H EDELMAN AND CO INC Total			16,000,000.000	15,622,304.69	0.00	0.00000	0.00000
WELLS FARGO SECURITIES, LLC		46171					
	NM2A		8,030,000.000	8,102,725.00	0.00	0.00000	0.00000
WELLS FARGO SECURITIES, LLC Total			8,030,000.000	8,102,725.00	0.00	0.00000	0.00000
COMPOSITE Total			243,419,764,522.850	680,342,951.32	288.29	0.00000	0.00004