

# Broker Summary/All Activity by Report Date

Composite

EQUITY

July 1, 2023 to September 30, 2023

View Date: October 3, 2023

### Funds Included in Composite

- NM1D NMERB - BROOKFIELD REIT
- NM1X NMERB - S & P 500 INDEX
- NM2D NMERB - HARVEST MLP
- NM2E NMERB - S&P 400 MID-CAP INDEX

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Base Currency: USD - US DOLLAR							
Broker Name	Fund	Broker Number	Shares/Par	Base Principal	Base Commission	Base Comm Cost/Share	% of Principal
APEX CLEARING CORPORATION		00158					
	NM2D		22.000	3,162.05	0.11	0.00500	0.00348
<b>APEX CLEARING CORPORATION Total</b>			22.000	3,162.05	0.11	0.00500	0.00348
ASSET TRANSFER AT MARKET NON CASH		92674					
	NM1X		6,100.000	916,829.00	0.00	0.00000	0.00000
	NM2E		6,100.000	916,829.00	0.00	0.00000	0.00000
<b>ASSET TRANSFER AT MARKET NON CASH Total</b>			12,200.000	1,833,658.00	0.00	0.00000	0.00000
B.RILEY & CO., LLC		65544					
	NM2D		260,803.000	4,556,686.42	7,824.09	0.03000	0.17171
<b>B.RILEY &amp; CO., LLC Total</b>			260,803.000	4,556,686.42	7,824.09	0.03000	0.17171
BARCLAYS CAPITAL LE		61610					
	NM2D		12,956.000	198,874.60	0.00	0.00000	0.00000
<b>BARCLAYS CAPITAL LE Total</b>			12,956.000	198,874.60	0.00	0.00000	0.00000
BOFA SECURITIES, INC.		00161					
	NM1D		50,442.000	5,772,282.40	1,536.84	0.03047	0.02662
<b>BOFA SECURITIES, INC. Total</b>			50,442.000	5,772,282.40	1,536.84	0.03047	0.02662
BTIG, LLC		58851					
	NM1D		521,836.000	25,008,122.50	15,655.08	0.03000	0.06260
<b>BTIG, LLC Total</b>			521,836.000	25,008,122.50	15,655.08	0.03000	0.06260
CAPITAL INSTITUTIONAL SVCS INC EQUITIES		59055					
	NM1X		1,084,000.000	132,196,248.28	21,680.00	0.02000	0.01640
	NM2E		670,978.000	27,720,688.09	13,419.56	0.02000	0.04841
<b>CAPITAL INSTITUTIONAL SVCS INC EQUITIES Total</b>			1,754,978.000	159,916,936.37	35,099.56	0.02000	0.02195
COWEN AND COMPANY, LLC		77318					

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	NM2D		12,029.000	341,272.51	60.17	0.00500	0.01763
<b>COWEN AND COMPANY, LLC Total</b>			12,029.000	341,272.51	60.17	0.00500	0.01763
EVERCORE ISI		83213					
	NM1D		27,400.000	3,040,099.04	822.00	0.03000	0.02704
	NM2D		24,436.000	620,744.27	122.22	0.00500	0.01969
<b>EVERCORE ISI Total</b>			51,836.000	3,660,843.31	944.22	0.01822	0.02579
JEFFERIESLLC		00019					
	NM2D		50,185.000	1,601,449.33	251.00	0.00500	0.01567
<b>JEFFERIESLLC Total</b>			50,185.000	1,601,449.33	251.00	0.00500	0.01567
JONESTRADING INSTITUTIONAL SERVICES LLC		77583					
	NM2D		979.000	25,451.32	29.37	0.03000	0.11540
<b>JONESTRADING INSTITUTIONAL SERVICES LLC Total</b>			979.000	25,451.32	29.37	0.03000	0.11540
KEYBANC CAPITAL MARKETS INC		67526					
	NM1D		45,200.000	757,419.36	1,356.00	0.03000	0.17903
<b>KEYBANC CAPITAL MARKETS INC Total</b>			45,200.000	757,419.36	1,356.00	0.03000	0.17903
MERGER		99149					
	NM2D		122,684.000	8,290,063.20	0.00	0.00000	0.00000
	NM2E		54,300.000	2,106,795.00	0.00	0.00000	0.00000
<b>MERGER Total</b>			176,984.000	10,396,858.20	0.00	0.00000	0.00000
MERGER NON CASH		97933					
	NM2E		78,292.000	6,510,824.42	0.00	0.00000	0.00000
<b>MERGER NON CASH Total</b>			78,292.000	6,510,824.42	0.00	0.00000	0.00000
MKM PARTNERS LLC		62526					
	NM1D		7,998.000	660,169.70	159.96	0.02000	0.02423

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<b>MKM PARTNERS LLC Total</b>			7,998.000	660,169.70	159.96	0.02000	0.02423
MORGAN STANLEY CO INCORPORATED		00050					
	NM1D		31,765.000	1,184,418.38	952.95	0.03000	0.08046
<b>MORGAN STANLEY CO INCORPORATED Total</b>			31,765.000	1,184,418.38	952.95	0.03000	0.08046
PIPER JAFFRAY & CO.		69444					
	NM1D		28,700.000	2,538,030.15	287.00	0.01000	0.01131
	NM2D		4,803.000	227,390.72	24.07	0.00501	0.01059
<b>PIPER JAFFRAY &amp; CO. Total</b>			33,503.000	2,765,420.87	311.07	0.00928	0.01125
RAYMOND JAMES AND ASSOCIATES INC		00725					
	NM1D		393,262.000	16,001,725.64	15,730.48	0.04000	0.09830
	NM1X		279,903.000	16,932,760.93	2,799.03	0.01000	0.01653
	NM2D		41,555.000	1,129,157.86	207.87	0.00500	0.01841
	NM2E		28,719.000	4,568,491.07	287.19	0.01000	0.00629
<b>RAYMOND JAMES AND ASSOCIATES INC Total</b>			743,439.000	38,632,135.50	19,024.57	0.02559	0.04925
RBC CAPITAL MARKETS, LLC		00235					
	NM2D		123,459.000	3,140,599.41	617.59	0.00500	0.01966
<b>RBC CAPITAL MARKETS, LLC Total</b>			123,459.000	3,140,599.41	617.59	0.00500	0.01966
ROBERT W.BAIRD CO.INCORPORATED		00547					
	NM1D		23,400.000	3,161,505.98	702.00	0.03000	0.02220
<b>ROBERT W.BAIRD CO.INCORPORATED Total</b>			23,400.000	3,161,505.98	702.00	0.03000	0.02220
SANFORD C BERNSTEIN CO LLC		00013					
	NM2D		16,315.000	568,416.03	81.61	0.00500	0.01436
<b>SANFORD C BERNSTEIN CO LLC Total</b>			16,315.000	568,416.03	81.61	0.00500	0.01436
STATE STREET GLOBAL MARKETS, LLC		75353					
	NM2D		29,815.000	958,799.24	149.16	0.00500	0.01556

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Broker Name	Fund	Broker Number	Shares/Par	Base Principal	Base Commission	Base Comm Cost/Share	% of Principal
<b>STATE STREET GLOBAL MARKETS, LLC Total</b>			29,815.000	958,799.24	149.16	0.00500	0.01556
USCA SECURITIES LLC		63279					
	NM2D		15.000	501.68	0.45	0.03000	0.08970
<b>USCA SECURITIES LLC Total</b>			15.000	501.68	0.45	0.03000	0.08970
VIRTU AMERICAS LLC		53312					
	NM1D		2,732.000	44,370.05	38.25	0.01400	0.08621
<b>VIRTU AMERICAS LLC Total</b>			2,732.000	44,370.05	38.25	0.01400	0.08621
WELLS FARGO SECURITIES, LLC		46171					
	NM1D		74,400.000	1,206,701.04	2,232.00	0.03000	0.18497
	NM1X		66,340.000	11,667,227.63	663.40	0.01000	0.00569
	NM2D		8,500.000	236,573.14	42.54	0.00500	0.01798
	NM2E		76,473.000	6,262,434.66	764.73	0.01000	0.01221
<b>WELLS FARGO SECURITIES, LLC Total</b>			225,713.000	19,372,936.47	3,702.67	0.01640	0.01911
<b>COMPOSITE Total</b>			4,266,896.000	291,073,114.10	88,496.72	0.02074	0.03040

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FIXED

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**Funds Included in Composite**

- NM2A NMERB - CORE FIXED INCOME
- NM2B PRUDENTIAL EMD-BLEND

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Broker Name	Fund	Broker Number	Shares/Par	Base Principal	Base Commission	Base Comm Cost/Share	% of Principal
BANK OF MONTREAL		78884					
	NM2A		1,000,000.000	966,523.44	0.00	0.00000	0.00000
<b>BANK OF MONTREAL Total</b>			1,000,000.000	966,523.44	0.00	0.00000	0.00000
BARCLAYS CAPITAL INC		50518					
	NM2A		17,000,000.000	16,180,287.51	0.00	0.00000	0.00000
<b>BARCLAYS CAPITAL INC Total</b>			17,000,000.000	16,180,287.51	0.00	0.00000	0.00000
BNP PARIBAS SECURITIES BOND		58119					
	NM2A		1,000,000.000	981,679.69	0.00	0.00000	0.00000
<b>BNP PARIBAS SECURITIES BOND Total</b>			1,000,000.000	981,679.69	0.00	0.00000	0.00000
BOFA SECURITIES, INC. / FIXED INCOME		00773					
	NM2A		7,000,000.000	6,983,750.00	0.00	0.00000	0.00000
<b>BOFA SECURITIES, INC. / FIXED INCOME Total</b>			7,000,000.000	6,983,750.00	0.00	0.00000	0.00000
CANTOR FITZGERALD + CO		07311					
	NM2A		144,855,408.580	80,887,261.23	0.00	0.00000	0.00000
<b>CANTOR FITZGERALD + CO Total</b>			144,855,408.580	80,887,261.23	0.00	0.00000	0.00000
FEDERAL RESERVE BANK OF BOSTON		92603					
	NM2A		16,860,115.170	16,596,729.59	0.00	0.00000	0.00000
<b>FEDERAL RESERVE BANK OF BOSTON Total</b>			16,860,115.170	16,596,729.59	0.00	0.00000	0.00000
FIRST TENNESSEE BANK BOND DIVISION		09512					
	NM2A		44,139,287.030	40,424,609.25	0.00	0.00000	0.00000
<b>FIRST TENNESSEE BANK BOND DIVISION Total</b>			44,139,287.030	40,424,609.25	0.00	0.00000	0.00000
FRACTIONAL SHARES		97515					
	NM2A		0.010	0.00	0.00	0.00000	0.00000
<b>FRACTIONAL SHARES Total</b>			0.010	0.00	0.00	0.00000	0.00000

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J P MORGAN CHASE/J P MORGAN INTL		02035					
	NM2B		48,337.000	1,691.80	0.00	0.00000	0.00000
<b>J P MORGAN CHASE/J P MORGAN INTL Total</b>			48,337.000	1,691.80	0.00	0.00000	0.00000
J.P. MORGAN SECURITIES LLC		80218					
	NM2A		7,000,000.000	6,491,960.00	0.00	0.00000	0.00000
<b>J.P. MORGAN SECURITIES LLC Total</b>			7,000,000.000	6,491,960.00	0.00	0.00000	0.00000
KEYBANC CAPITAL MARKETS INC		67526					
	NM2A		33,060,483.920	31,283,363.64	0.00	0.00000	0.00000
<b>KEYBANC CAPITAL MARKETS INC Total</b>			33,060,483.920	31,283,363.64	0.00	0.00000	0.00000
MARKETAXESS CORPORATION		78582					
	NM2A		5,000,000.000	4,308,420.00	0.00	0.00000	0.00000
<b>MARKETAXESS CORPORATION Total</b>			5,000,000.000	4,308,420.00	0.00	0.00000	0.00000
MITSUBISHI UFJ SECURITIES		62097					
	NM2A		3,000,000.000	2,918,580.00	0.00	0.00000	0.00000
<b>MITSUBISHI UFJ SECURITIES Total</b>			3,000,000.000	2,918,580.00	0.00	0.00000	0.00000
MIZUHO SECURITIES USA INC.		83536					
	NM2A		1,000,000.000	952,940.00	0.00	0.00000	0.00000
<b>MIZUHO SECURITIES USA INC. Total</b>			1,000,000.000	952,940.00	0.00	0.00000	0.00000
MORGAN STANLEY CO INCORPORATED		00050					
	NM2A		4,000,000.000	3,627,656.25	0.00	0.00000	0.00000
<b>MORGAN STANLEY CO INCORPORATED Total</b>			4,000,000.000	3,627,656.25	0.00	0.00000	0.00000
PAYDOWN		95953					
	NM2A		171,405.490	171,405.49	0.00	0.00000	0.00000
<b>PAYDOWN Total</b>			171,405.490	171,405.49	0.00	0.00000	0.00000



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PIERPONT SECURITIES LLC		66767					
	NM2A		24,938,792.620	23,296,859.41	0.00	0.00000	0.00000
<b>PIERPONT SECURITIES LLC Total</b>			24,938,792.620	23,296,859.41	0.00	0.00000	0.00000
PIPER JAFFRAY & CO.		69444					
	NM2A		500,000.000	461,500.00	0.00	0.00000	0.00000
<b>PIPER JAFFRAY &amp; CO. Total</b>			500,000.000	461,500.00	0.00	0.00000	0.00000
SMBC NIKKO SECURITIES AMERICA, INC.		65059					
	NM2A		2,000,000.000	1,876,000.00	0.00	0.00000	0.00000
<b>SMBC NIKKO SECURITIES AMERICA, INC. Total</b>			2,000,000.000	1,876,000.00	0.00	0.00000	0.00000
TORONTO DOMINION SECURTIES (USA) INC		80703					
	NM2A		6,000,000.000	5,373,600.00	0.00	0.00000	0.00000
<b>TORONTO DOMINION SECURTIES (USA) INC Total</b>			6,000,000.000	5,373,600.00	0.00	0.00000	0.00000
US BANCORP INVESTMENTS INC		93812					
	NM2A		8,000,000.000	7,732,880.00	0.00	0.00000	0.00000
<b>US BANCORP INVESTMENTS INC Total</b>			8,000,000.000	7,732,880.00	0.00	0.00000	0.00000
WELLS FARGO SECURITIES, LLC		46171					
	NM2A		4,500,000.000	4,220,785.00	0.00	0.00000	0.00000
<b>WELLS FARGO SECURITIES, LLC Total</b>			4,500,000.000	4,220,785.00	0.00	0.00000	0.00000
<b>COMPOSITE Total</b>			331,073,829.820	255,738,482.30	0.00	0.00000	0.00000