

Broker Summary by Report Date

Commission Values Only - Composite

October 1, 2023 to December 31, 2023

Equity

View Date: January 22, 2024



STATE STREET.

Funds Included in Composite

NM1D	NMERB - BROOKFIELD REIT
NM1X	NMERB - S & P 500 INDEX
NM2D	NMERB - HARVEST MLP
NM2E	NMERB - S&P 400 MID-CAP INDEX

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Broker Name Fund	Broker Number	Shares/Par	Base Principal	Base Commission	Base Comm Cost/Share	% of Principal
B.RILEY & CO., LLC NM2D	65544	144,195.000	2,667,414.65	4,325.85	0.03000	0.16217
B.RILEY & CO., LLC Total		144,195.000	2,667,414.65	4,325.85	0.03000	0.16217
BMO CAPITAL MARKETS NM1D	00045	248,975.000	7,994,137.83	7,469.25	0.03000	0.09343
BMO CAPITAL MARKETS Total		248,975.000	7,994,137.83	7,469.25	0.03000	0.09343
BOFA SECURITIES, INC. NM1D	00161	120,563.000	5,029,586.02	2,615.86	0.02170	0.05201
BOFA SECURITIES, INC. Total		120,563.000	5,029,586.02	2,615.86	0.02170	0.05201
BTIG, LLC NM1D	58851	704,775.000	8,012,744.85	21,143.25	0.03000	0.26387
BTIG, LLC Total		704,775.000	8,012,744.85	21,143.25	0.03000	0.26387
CABRERA CAPITAL MARKETS NM1D	70620	9,900.000	1,099,122.09	99.00	0.01000	0.00901
CABRERA CAPITAL MARKETS Total		9,900.000	1,099,122.09	99.00	0.01000	0.00901
CANTOR FITZGERALD + CO. NM2D	00696	29,375.000	1,063,289.51	146.90	0.00500	0.01382
CANTOR FITZGERALD + CO. Total		29,375.000	1,063,289.51	146.90	0.00500	0.01382
CAPITAL INSTITUTIONAL SVCS INC EQUITIES NM1X	59055	18,600.000	688,276.26	372.00	0.02000	0.05405
NM2E		121,100.000	4,532,566.70	2,422.00	0.02000	0.05344
CAPITAL INSTITUTIONAL SVCS INC EQUITIES Total		139,700.000	5,220,842.96	2,794.00	0.02000	0.05352
CITIGROUP GLOBAL MARKETS INC NM2D	69276	2,342.000	205,140.00	70.26	0.03000	0.03425
CITIGROUP GLOBAL MARKETS INC Total		2,342.000	205,140.00	70.26	0.03000	0.03425
COWEN AND COMPANY, LLC NM1D	77318	37,780.000	4,007,470.49	1,133.40	0.03000	0.02828
NM2D		13,724.000	606,171.05	155.44	0.01133	0.02564
COWEN AND COMPANY, LLC Total		51,504.000	4,613,641.54	1,288.84	0.02502	0.02794

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EVERCORE ISI NM1D	83213	104,730.000	6,796,995.48	3,141.90	0.03000	0.04622
NM2D		44,799.000	1,748,017.93	453.00	0.01011	0.02592
EVERCORE ISI Total		149,529.000	8,545,013.41	3,594.90	0.02404	0.04207
INSTINET NM1D	06826	59,080.000	5,737,121.36	1,772.40	0.03000	0.03089
INSTINET Total		59,080.000	5,737,121.36	1,772.40	0.03000	0.03089
J.P. MORGAN SECURITIES LLC NM1D	57079	6,720.000	810,619.55	201.60	0.03000	0.02487
NM2D		1,208.000	35,160.27	6.05	0.00501	0.01721
J.P. MORGAN SECURITIES LLC Total		7,928.000	845,779.82	207.65	0.02619	0.02455
JEFFERIESLLC NM1D	00019	31,440.000	1,756,472.26	1,257.60	0.04000	0.07160
NM2D		30,303.000	1,356,292.95	151.56	0.00500	0.01117
JEFFERIESLLC Total		61,743.000	3,112,765.21	1,409.16	0.02282	0.04527
JONESTRADING INSTITUTIONAL SERVICES LLC NM1D	77583	1,500.000	179,366.70	15.00	0.01000	0.00836
JONESTRADING INSTITUTIONAL SERVICES LLC Total		1,500.000	179,366.70	15.00	0.01000	0.00836
KEYBANC CAPITAL MARKETS INC NM1D	67526	277,808.000	5,683,088.91	8,334.24	0.03000	0.14665
KEYBANC CAPITAL MARKETS INC Total		277,808.000	5,683,088.91	8,334.24	0.03000	0.14665
MIZUHO SECURITIES USA INC. NM1D	61407	165,346.000	21,527,752.20	4,960.38	0.03000	0.02304
MIZUHO SECURITIES USA INC. Total		165,346.000	21,527,752.20	4,960.38	0.03000	0.02304
PIPER JAFFRAY & CO. NM1D	69444	20,429.000	789,871.74	204.29	0.01000	0.02586
NM2D		7,436.000	278,493.74	223.08	0.03000	0.08010
PIPER JAFFRAY & CO. Total		27,865.000	1,068,365.48	427.37	0.01534	0.04000
PROOF SERVICES LLC	78787					

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NM2D		5,546.000	404,001.41	27.75	0.00500	0.00687
PROOF SERVICES LLC Total		5,546.000	404,001.41	27.75	0.00500	0.00687
RAYMOND JAMES AND ASSOCIATES INC NM1D	00725	606,928.000	19,707,872.01	24,277.12	0.04000	0.12318
NM1X		156,578.000	12,046,989.44	1,565.78	0.01000	0.01300
NM2D		46,342.000	2,277,648.73	231.81	0.00500	0.01018
NM2E		265,300.000	17,640,509.44	2,653.00	0.01000	0.01504
RAYMOND JAMES AND ASSOCIATES INC Total		1,075,148.000	51,673,019.62	28,727.71	0.02672	0.05560
RBC CAPITAL MARKETS, LLC NM2D	00235	105,538.000	3,986,967.75	527.96	0.00500	0.01324
RBC CAPITAL MARKETS, LLC Total		105,538.000	3,986,967.75	527.96	0.00500	0.01324
ROBERT W.BAIRD CO.INCORPORATED NM1D	00547	472,198.000	14,571,857.02	14,165.94	0.03000	0.09721
ROBERT W.BAIRD CO.INCORPORATED Total		472,198.000	14,571,857.02	14,165.94	0.03000	0.09721
SANFORD C BERNSTEIN CO LLC NM2D	00013	25,727.000	1,272,939.92	128.68	0.00500	0.01011
SANFORD C BERNSTEIN CO LLC Total		25,727.000	1,272,939.92	128.68	0.00500	0.01011
STATE STREET GLOBAL MARKETS, LLC NM2D	75353	18,379.000	883,178.52	91.93	0.00500	0.01041
STATE STREET GLOBAL MARKETS, LLC Total		18,379.000	883,178.52	91.93	0.00500	0.01041
STRATEGAS SECURITIES LLC NM1D	62519	165,163.000	8,138,766.39	4,954.89	0.03000	0.06088
STRATEGAS SECURITIES LLC Total		165,163.000	8,138,766.39	4,954.89	0.03000	0.06088
UBS SECURITIES LLC NM2D	00642	1,482.000	13,176.06	44.46	0.03000	0.33743
UBS SECURITIES LLC Total		1,482.000	13,176.06	44.46	0.03000	0.33743
VIRTU AMERICAS LLC NM1D	53312	18,629.000	272,896.22	260.81	0.01400	0.09557
VIRTU AMERICAS LLC Total		18,629.000	272,896.22	260.81	0.01400	0.09557

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WELLS FARGO SECURITIES, LLC NM1X	46171	16,381.000	1,406,899.61	163.81	0.01000	0.01164
NM2E		145,097.000	11,093,889.03	1,450.97	0.01000	0.01308
WELLS FARGO SECURITIES, LLC Total		161,478.000	12,500,788.64	1,614.78	0.01000	0.01292
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COMPOSITE Total		4,251,416.000	176,322,764.09	111,219.22	0.02616	0.06308

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NM2A	NMERB - CORE FIXED INCOME
NM2B	PRUDENTIAL EMD-BLEND

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Broker Name	Fund	Broker Number	Shares/Par	Base Principal	Base Commission	Base Comm Cost/Share	% of Principal
ASSET TRANSFER NON CASH		N0575					
	NM2B		200,000.000	261,813.38	0.00	0.00000	0.00000
ASSET TRANSFER NON CASH Total			200,000.000	261,813.38	0.00	0.00000	0.00000
BANK OF MONTREAL		78884					
	NM2A		3,000,000.000	2,908,476.56	0.00	0.00000	0.00000
BANK OF MONTREAL Total			3,000,000.000	2,908,476.56	0.00	0.00000	0.00000
BOFA SECURITIES, INC. / FIXED INCOME		00773					
	NM2A		2,700,000.000	2,682,692.00	0.00	0.00000	0.00000
BOFA SECURITIES, INC. / FIXED INCOME Total			2,700,000.000	2,682,692.00	0.00	0.00000	0.00000
CALLED BONDS		99129					
	NM2A		1,096,680.350	1,096,680.34	0.00	0.00000	0.00000
CALLED BONDS Total			1,096,680.350	1,096,680.34	0.00	0.00000	0.00000
CANTOR FITZGERALD + CO		07311					
	NM2A		151,380,376.240	135,678,403.98	0.00	0.00000	0.00000
CANTOR FITZGERALD + CO Total			151,380,376.240	135,678,403.98	0.00	0.00000	0.00000
DAIWA CAPITAL MARKETS AMERICA INC.		00647					
	NM2A		5,000,000.000	5,000,000.00	0.00	0.00000	0.00000
DAIWA CAPITAL MARKETS AMERICA INC. Total			5,000,000.000	5,000,000.00	0.00	0.00000	0.00000
EXCHANGE OFFER		99002					
	NM2A		4,213,000.000	4,184,621.18	0.00	0.00000	0.00000
EXCHANGE OFFER Total			4,213,000.000	4,184,621.18	0.00	0.00000	0.00000
FIRST TENNESSEE BANK BOND DIVISION		09512					
	NM2A		10,774,491.910	9,565,208.23	0.00	0.00000	0.00000
FIRST TENNESSEE BANK BOND DIVISION Total			10,774,491.910	9,565,208.23	0.00	0.00000	0.00000

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J.P. MORGAN SECURITIES LLC		80218					
	NM2A		4,000,000.000	3,686,440.00	0.00	0.00000	0.00000
J.P. MORGAN SECURITIES LLC Total			4,000,000.000	3,686,440.00	0.00	0.00000	0.00000
KEYBANC CAPITAL MARKETS INC		67526					
	NM2A		46,867,194.620	44,854,992.34	0.00	0.00000	0.00000
KEYBANC CAPITAL MARKETS INC Total			46,867,194.620	44,854,992.34	0.00	0.00000	0.00000
MARKETAXESS CORPORATION		78582					
	NM2A		2,000,000.000	1,979,560.00	0.00	0.00000	0.00000
MARKETAXESS CORPORATION Total			2,000,000.000	1,979,560.00	0.00	0.00000	0.00000
mitsubishi ufj securities		62097					
	NM2A		5,000,000.000	4,995,350.00	0.00	0.00000	0.00000
mitsubishi ufj securities Total			5,000,000.000	4,995,350.00	0.00	0.00000	0.00000
MORGAN STANLEY CO INCORPORATED		00050					
	NM2A		2,500,000.000	2,498,675.00	0.00	0.00000	0.00000
MORGAN STANLEY CO INCORPORATED Total			2,500,000.000	2,498,675.00	0.00	0.00000	0.00000
PAYDOWN		95953					
	NM2A		130,025.990	130,025.96	0.00	0.00000	0.00000
PAYDOWN Total			130,025.990	130,025.96	0.00	0.00000	0.00000
PIERPONT SECURITIES LLC		66767					
	NM2A		51,531,124.430	44,575,190.97	0.00	0.00000	0.00000
PIERPONT SECURITIES LLC Total			51,531,124.430	44,575,190.97	0.00	0.00000	0.00000
PIPER JAFFRAY & CO.		69444					
	NM2A		500,000.000	453,750.00	0.00	0.00000	0.00000
PIPER JAFFRAY & CO. Total			500,000.000	453,750.00	0.00	0.00000	0.00000

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SCOTIAMCLEOD (U.S.A.) INC.		00096					
	NM2A		2,000,000.000	1,996,420.00	0.00	0.00000	0.00000
SCOTIAMCLEOD (U.S.A.) INC. Total			2,000,000.000	1,996,420.00	0.00	0.00000	0.00000
STATE STREET BANK AND TRUST CO.		99029					
	NM2A		131,008,370.680	131,008,370.68	0.00	0.00000	0.00000
	NM2B		2,163.080	2,137.25	0.00	0.00000	0.00000
STATE STREET BANK AND TRUST CO. Total			131,010,533.760	131,010,507.93	0.00	0.00000	0.00000
STIFEL NICOLAUS + CO INC		00793					
	NM2A		2,000,000.000	1,853,906.25	0.00	0.00000	0.00000
STIFEL NICOLAUS + CO INC Total			2,000,000.000	1,853,906.25	0.00	0.00000	0.00000
TORONTO DOMINION SECURTIES (USA) INC		80703					
	NM2A		2,000,000.000	1,391,500.00	0.00	0.00000	0.00000
TORONTO DOMINION SECURTIES (USA) INC Total			2,000,000.000	1,391,500.00	0.00	0.00000	0.00000
WELLS FARGO SECURITIES, LLC		46171					
	NM2A		2,000,000.000	1,757,160.00	0.00	0.00000	0.00000
WELLS FARGO SECURITIES, LLC Total			2,000,000.000	1,757,160.00	0.00	0.00000	0.00000
COMPOSITE Total			429,903,427.300	402,561,374.12	0.00	0.00000	0.00000