

Broker Summary by Report Date

EQUITY

Commission Values Only - Composite

July 1, 2024 to September 30, 2024

View Date: October 10, 2024



Funds Included in Composite

NM1D	NMERB - BROOKFIELD REIT
NM1X	NMERB - S & P 500 INDEX
NM2D	NMERB - HARVEST MLP
NM2E	NMERB - S&P 400 MID-CAP INDEX

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Broker Name Fund	Broker Number	Shares/Par	Base Principal	Base Commission	Base Comm Cost/Share	% of Principal
B.RILEY & CO., LLC NM2D	65544	54,610.000	1,395,207.42	1,638.30	0.03000	0.11742
B.RILEY & CO., LLC Total		54,610.000	1,395,207.42	1,638.30	0.03000	0.11742
BARCLAYS CAPITAL LE NM1D	61610	217,172.000	8,260,116.18	6,515.16	0.03000	0.07887
BARCLAYS CAPITAL LE Total		217,172.000	8,260,116.18	6,515.16	0.03000	0.07887
BERNSTEIN INSTITUTIONAL SERVICES LLC NM2D	79561	5,394.000	245,212.74	26.98	0.00500	0.01100
BERNSTEIN INSTITUTIONAL SERVICES LLC Total		5,394.000	245,212.74	26.98	0.00500	0.01100
BMO CAPITAL MARKETS NM1D	00045	114,879.000	1,688,258.64	3,446.37	0.03000	0.20414
BMO CAPITAL MARKETS Total		114,879.000	1,688,258.64	3,446.37	0.03000	0.20414
BOFA SECURITIES, INC. NM1D	00161	111,456.000	3,674,425.84	3,002.80	0.02694	0.08172
BOFA SECURITIES, INC. Total		111,456.000	3,674,425.84	3,002.80	0.02694	0.08172
BTIG, LLC NM1D	58851	882,809.000	50,916,168.90	26,484.27	0.03000	0.05202
NM2D		63,490.000	2,817,699.98	317.57	0.00500	0.01127
BTIG, LLC Total		946,299.000	53,733,868.88	26,801.84	0.02832	0.04988
CABRERA CAPITAL MARKETS NM1D	70620	100.000	11,481.78	1.00	0.01000	0.00871
CABRERA CAPITAL MARKETS Total		100.000	11,481.78	1.00	0.01000	0.00871
CANTOR FITZGERALD + CO. NM2D	00696	4,643.000	165,384.41	23.22	0.00500	0.01404
CANTOR FITZGERALD + CO. Total		4,643.000	165,384.41	23.22	0.00500	0.01404
CAPITAL INSTITUTIONAL SVCS INC EQUITIES NM1X	59055	165,300.000	7,559,090.32	3,306.00	0.02000	0.04374
NM2E		35,000.000	1,029,997.20	700.00	0.02000	0.06796
CAPITAL INSTITUTIONAL SVCS INC EQUITIES Total		200,300.000	8,589,087.52	4,006.00	0.02000	0.04664

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CITIGROUP GLOBAL MARKETS INC NM1D	69276	406,743.000	13,102,521.81	12,202.29	0.03000	0.09313
CITIGROUP GLOBAL MARKETS INC Total		406,743.000	13,102,521.81	12,202.29	0.03000	0.09313
COWEN AND COMPANY, LLC NM2D	00100	81,232.000	4,241,514.87	406.26	0.00500	0.00958
COWEN AND COMPANY, LLC Total		81,232.000	4,241,514.87	406.26	0.00500	0.00958
EVERCORE ISI NM1D	83213	57,904.000	2,058,230.08	1,737.12	0.03000	0.08440
NM2D		30,042.000	1,188,933.81	150.26	0.00500	0.01264
EVERCORE ISI Total		87,946.000	3,247,163.89	1,887.38	0.02146	0.05812
GUGGENHEIM CAPITAL MARKETS LLC NM1D	77990	6,469.000	107,631.22	194.07	0.03000	0.18031
GUGGENHEIM CAPITAL MARKETS LLC Total		6,469.000	107,631.22	194.07	0.03000	0.18031
JEFFERIES LLC NM2D	00019	2,932.000	77,718.28	14.66	0.00500	0.01886
JEFFERIES LLC Total		2,932.000	77,718.28	14.66	0.00500	0.01886
KEYBANC CAPITAL MARKETS INC NM1D	67526	211,059.000	15,539,215.77	6,331.77	0.03000	0.04075
NM2D		2,664.000	50,928.26	79.92	0.03000	0.15693
KEYBANC CAPITAL MARKETS INC Total		213,723.000	15,590,144.03	6,411.69	0.03000	0.04113
LIQUIDNET INC NM1D	69400	12,743.000	234,832.47	254.86	0.02000	0.10853
LIQUIDNET INC Total		12,743.000	234,832.47	254.86	0.02000	0.10853
LUMINEX TRADING AND ANALYTICS LLC NM1D	69237	23,877.000	270,463.45	477.55	0.02000	0.17657
LUMINEX TRADING AND ANALYTICS LLC Total		23,877.000	270,463.45	477.55	0.02000	0.17657
MIZUHO SECURITIES USA INC. NM1D	61407	197,105.000	7,548,551.40	5,913.15	0.03000	0.07833
MIZUHO SECURITIES USA INC. Total		197,105.000	7,548,551.40	5,913.15	0.03000	0.07833

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MORGAN STANLEY CO INCORPORATED NM1D	00050	459,909.000	12,093,714.80	13,797.27	0.03000	0.11409
MORGAN STANLEY CO INCORPORATED Total		459,909.000	12,093,714.80	13,797.27	0.03000	0.11409
PIPER JAFFRAY & CO. NM1D	69444	20,543.000	3,527,594.33	346.80	0.01688	0.00983
NM2D		5,643.000	338,530.33	28.25	0.00501	0.00834
PIPER JAFFRAY & CO. Total		26,186.000	3,866,124.66	375.05	0.01432	0.00970
RAYMOND JAMES AND ASSOCIATES INC NM1D	00725	80,955.000	9,452,016.12	2,428.65	0.03000	0.02569
NM1X		619,800.000	78,124,306.78	6,198.00	0.01000	0.00793
NM2D		40,831.000	1,894,851.31	280.42	0.00687	0.01480
NM2E		211,658.000	5,548,794.47	2,116.58	0.01000	0.03814
RAYMOND JAMES AND ASSOCIATES INC Total		953,244.000	95,019,968.68	11,023.65	0.01156	0.01160
RBC CAPITAL MARKETS, LLC NM2D	00235	75,222.000	4,376,323.12	376.19	0.00500	0.00860
RBC CAPITAL MARKETS, LLC Total		75,222.000	4,376,323.12	376.19	0.00500	0.00860
ROBERT W. BAIRD CO.INCORPORATED NM1D	00547	13,316.000	2,100,165.57	399.48	0.03000	0.01902
ROBERT W. BAIRD CO.INCORPORATED Total		13,316.000	2,100,165.57	399.48	0.03000	0.01902
STATE STREET GLOBAL MARKETS, LLC NM2D	75353	27,439.000	1,123,434.90	137.24	0.00500	0.01222
STATE STREET GLOBAL MARKETS, LLC Total		27,439.000	1,123,434.90	137.24	0.00500	0.01222
STRATEGAS SECURITIES LLC NM1D	62519	12,147.000	2,963,602.20	364.41	0.03000	0.01230
STRATEGAS SECURITIES LLC Total		12,147.000	2,963,602.20	364.41	0.03000	0.01230
SUNTRUST CAPITAL MARKETS, INC. NM1D	54720	35,153.000	1,151,394.89	1,054.59	0.03000	0.09159
SUNTRUST CAPITAL MARKETS, INC. Total		35,153.000	1,151,394.89	1,054.59	0.03000	0.09159
WELLS FARGO SECURITIES, LLC	46171					

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NM1D		552,273.000	13,120,560.97	16,568.19	0.03000	0.12628
NM1X		1,325.000	20,038.61	13.25	0.01000	0.06612
NM2E		555,709.000	19,157,383.52	5,557.09	0.01000	0.02901
WELLS FARGO SECURITIES, LLC Total		1,109,307.000	32,297,983.10	22,138.53	0.01996	0.06854
COMPOSITE Total		5,399,546.000	277,176,296.75	122,889.99	0.02276	0.04434



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NM2A NMERB - CORE FIXED INCOME
NM2B PRUDENTIAL EMD-BLEND

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Broker Name	Fund	Broker Number	Shares/Par	Base Principal	Base Commission	Base Comm Cost/Share	% of Principal
BANK OF MONTREAL		78884					
	NM2A		36,600,000.000	36,353,714.69	0.00	0.00000	0.00000
BANK OF MONTREAL Total			36,600,000.000	36,353,714.69	0.00	0.00000	0.00000
BANK OF NOVA SCOTIA - NYA		62981					
	NM2A		25,000,000.000	23,127,265.62	0.00	0.00000	0.00000
BANK OF NOVA SCOTIA - NYA Total			25,000,000.000	23,127,265.62	0.00	0.00000	0.00000
BARCLAYS CAPITAL INC		50518					
	NM2A		12,000,000.000	9,172,031.25	0.00	0.00000	0.00000
BARCLAYS CAPITAL INC Total			12,000,000.000	9,172,031.25	0.00	0.00000	0.00000
BOFA SECURITIES, INC. / FIXED INCOME		00773					
	NM2A		29,000,000.000	24,537,446.25	0.00	0.00000	0.00000
BOFA SECURITIES, INC. / FIXED INCOME Total			29,000,000.000	24,537,446.25	0.00	0.00000	0.00000
CALLED BONDS		99129					
	NM2A		14,148,481.860	14,148,481.84	0.00	0.00000	0.00000
CALLED BONDS Total			14,148,481.860	14,148,481.84	0.00	0.00000	0.00000
CANTOR FITZGERALD + CO		07311					
	NM2A		153,371,330.460	143,334,660.51	0.00	0.00000	0.00000
CANTOR FITZGERALD + CO Total			153,371,330.460	143,334,660.51	0.00	0.00000	0.00000
FED SELL		97183					
	NM2A		7,551,650.390	6,171,335.09	0.00	0.00000	0.00000
FED SELL Total			7,551,650.390	6,171,335.09	0.00	0.00000	0.00000
FEDERAL RESERVE BANK OF BOSTON		92603					
	NM2A		3,546,719.800	3,268,523.97	0.00	0.00000	0.00000
FEDERAL RESERVE BANK OF BOSTON Total			3,546,719.800	3,268,523.97	0.00	0.00000	0.00000

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Broker Name	Fund	Broker Number	Shares/Par	Base Principal	Base Commission	Base Comm Cost/Share	% of Principal
FIRST TENNESSEE BANK BOND DIVISION		09512					
	NM2A		21,776,801.790	20,376,355.27	0.00	0.00000	0.00000
FIRST TENNESSEE BANK BOND DIVISION Total			21,776,801.790	20,376,355.27	0.00	0.00000	0.00000
FRACTIONAL SHARES		97515					
	NM2A		0.140	0.00	0.00	0.00000	0.00000
FRACTIONAL SHARES Total			0.140	0.00	0.00	0.00000	0.00000
GOLDMAN SACHS + CO LLC		00005					
	NM2A		8,825,000.000	7,862,298.00	0.00	0.00000	0.00000
GOLDMAN SACHS + CO LLC Total			8,825,000.000	7,862,298.00	0.00	0.00000	0.00000
J.P. MORGAN SECURITIES LLC		80218					
	NM2A		16,500,000.000	15,143,844.38	0.00	0.00000	0.00000
J.P. MORGAN SECURITIES LLC Total			16,500,000.000	15,143,844.38	0.00	0.00000	0.00000
JANE STREET EXECUTION SERVICES, LLC		70534					
	NM2A		1,000,000.000	976,440.00	0.00	0.00000	0.00000
JANE STREET EXECUTION SERVICES, LLC Total			1,000,000.000	976,440.00	0.00	0.00000	0.00000
KEYBANC CAPITAL MARKETS INC		67526					
	NM2A		48,043,153.640	46,306,069.49	0.00	0.00000	0.00000
KEYBANC CAPITAL MARKETS INC Total			48,043,153.640	46,306,069.49	0.00	0.00000	0.00000
MORGAN STANLEY CO INCORPORATED		00050					
	NM2A		42,000,000.000	32,388,426.57	0.00	0.00000	0.00000
MORGAN STANLEY CO INCORPORATED Total			42,000,000.000	32,388,426.57	0.00	0.00000	0.00000
PAYDOWN		95953					
	NM2A		-56,028.990	-56,028.99	0.00	-0.00000	-0.00000
PAYDOWN Total			-56,028.990	-56,028.99	0.00	-0.00000	-0.00000

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PIERPONT SECURITIES LLC		66767					
	NM2A		77,521,366.660	76,438,973.80	0.00	0.00000	0.00000
PIERPONT SECURITIES LLC Total			77,521,366.660	76,438,973.80	0.00	0.00000	0.00000
PRINCIPAL PAYMENTS CORP BDS		99410					
	NM2A		56,028.990	56,028.99	0.00	0.00000	0.00000
PRINCIPAL PAYMENTS CORP BDS Total			56,028.990	56,028.99	0.00	0.00000	0.00000
RBC CAPITAL MARKETS, LLC		00235					
	NM2A		2,000,000.000	2,000,000.00	0.00	0.00000	0.00000
RBC CAPITAL MARKETS, LLC Total			2,000,000.000	2,000,000.00	0.00	0.00000	0.00000
SMBC NIKKO SECURITIES AMERICA, INC.		65059					
	NM2A		2,000,000.000	1,993,680.00	0.00	0.00000	0.00000
SMBC NIKKO SECURITIES AMERICA, INC. Total			2,000,000.000	1,993,680.00	0.00	0.00000	0.00000
STIFEL NICOLAUS + CO INC		00793					
	NM2A		4,000,000.000	4,042,265.63	0.00	0.00000	0.00000
STIFEL NICOLAUS + CO INC Total			4,000,000.000	4,042,265.63	0.00	0.00000	0.00000
TORONTO DOMINION SECURTIES (USA) INC		80703					
	NM2A		11,500,000.000	11,579,321.25	0.00	0.00000	0.00000
TORONTO DOMINION SECURTIES (USA) INC Total			11,500,000.000	11,579,321.25	0.00	0.00000	0.00000
US BANCORP INVESTMENTS INC		93812					
	NM2A		4,000,000.000	3,992,660.00	0.00	0.00000	0.00000
US BANCORP INVESTMENTS INC Total			4,000,000.000	3,992,660.00	0.00	0.00000	0.00000
WELLS FARGO SECURITIES, LLC		46171					
	NM2A		6,000,000.000	6,000,570.00	0.00	0.00000	0.00000
WELLS FARGO SECURITIES, LLC Total			6,000,000.000	6,000,570.00	0.00	0.00000	0.00000

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COMPOSITE Total			526,384,504.740	489,214,363.61	0.00	0.00000	0.00000